

10/27/00  
jc956 U.S. PTO

10-30-00

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No 004906.P005 Pages 5

First Named Inventor or Application Identifier David Carrel, etc.

Express Mail Label No. EL672753179US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

jc925 U.S. PTO  
09/698849

10/27/00

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.   X   Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.   X   Specification (Total Pages 28)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.   X   Drawings(s) (35 USC 113) (Total Sheets 8)
4.   X   Oath or Declaration (Total Pages 4 Unsigned)
  - a.        Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
  - i.        DELETIONS OF INVENTOR(S) Signed statement attached deleting  
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
5.        Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or  
declaration is supplied under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is hereby incorporated by  
reference therein.
6.        Microfiche Computer Program (Appendix)
7.        Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.        Computer Readable Copy
  - b.        Paper Copy (identical to computer copy)
  - c.        Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8.        Assignment Papers (cover sheet & documents(s))

09698849 10/27/00

9.	<u>X</u>	a.	37 C.F.R. § 3.73(b) Document (where there is an assignee)
	<u>X</u>	b.	Power of Attorney
10.	<u>    </u>		English Translation Document (if applicable)
11.	<u>    </u>	a.	Information Disclosure Statement (IDS)/PTO-1449
	<u>    </u>	b.	Copies of IDS Citations
12.	<u>    </u>		Preliminary Amendment
13.	<u>X</u>		Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14.	<u>    </u>	a.	Small Entity Statement(s)
		b.	Statement filed in prior application, Status still proper and desired
15.	<u>    </u>		Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	<u>X</u>	Other:	<u>Copy of postcard with Express Mail Certificate of Mailing</u>
			<u>                                </u>
			<u>                                </u>

\_\_\_\_ Continuation      \_\_\_\_ Divisional      \_\_\_\_ Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

Customer Number or Bar Code Label \_\_\_\_\_  
(Insert Customer No. or Attach Bar Code Label here)

NAME	Daniel M. DeVos, Reg. #37,813
	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Seventh Floor

CITY	Los Angeles	STATE	California	ZIP CODE	90025-1026
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Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

UNITED STATES PATENT APPLICATION

FOR

REMOTE CIRCUIT PROVISIONING

INVENTORS:

DAVID CARREL

CHE-LIN HO

THOMAS STONER

PREPARED BY:

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EXPRESS MAIL CERTIFICATE OF MAILING

004207 04060900

## FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$1452.00

Complete if Known:

Application No. \_\_\_\_\_  
Filing Date October 27, 2000  
First Named Inventor David Carrel, etc.  
Group Art Unit \_\_\_\_\_  
Examiner Name \_\_\_\_\_  
Attorney Docket No. 004906.P005

### METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
Deposit Account Name \_\_\_\_\_

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check  
\_\_\_\_ Money Order  
\_\_\_\_ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility application filing fee	<u>710.00</u>
106	320	206	160	Design application filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
SUBTOTAL (1)					<u>\$ 710.00</u>

#### 2. EXTRA CLAIM FEES

			Extra Claims	Fee from below	Fee Paid
Total Claims	<u>39</u>	- 20** =	<u>19</u>	X 18.00	= \$342.00
Independent Claims	<u>8</u>	- 3** =	<u>5</u>	X 80.00	= \$400.00
Multiple Dependent					= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

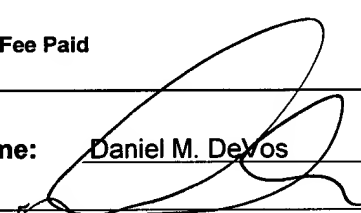
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	_____
102	80	202	40	Independent claims in excess of 3	_____
104	270	204	135	Multiple dependent claim, if not paid	_____
109	80	209	40	**Reissue independent claims over original patent	_____
110	18	210	9	**Reissue claims in excess of 20 and over original patent	_____
SUBTOTAL (2)					<u>\$ 742.00</u>

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	_____

002237-61366560

127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	390	216	195	Extension for response within second month	_____
117	890	217	445	Extension for response within third month	_____
118	1,390	218	695	Extension for response within fourth month	_____
128	1,890	228	945	Extension for response within fifth month	_____
119	310	219	155	Notice of Appeal	_____
120	310	220	155	Filing a brief in support of an appeal	_____
121	270	221	135	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,240	241	620	Petition to revive unintentionally abandoned application	_____
142	1,240	242	620	Utility issue fee (or reissue)	_____
143	440	243	220	Design issue fee	_____
144	600	244	300	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
179	710	279	355	Request for Continued Examination (RCE)	_____
169	900	169	900	Request for expedited examination of a design application	_____
Other fee (specify)				_____	_____
Other fee (specify)				_____	_____
				<b>SUBTOTAL (3)</b>	<b>\$ 0</b>
*Reduced by Basic Filing Fee Paid					
<b>SUBMITTED BY:</b>					
Typed or Printed Name:		Daniel M. DeVos			
Signature:				Date: 10/27/00	
Reg. Number:		37,813		Telephone Number: (408) 720-8300	

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672753179US

Date of Deposit: 10/27/00

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

D. Roberts  
(Typed or printed name of person mailing paper or fee)

D. Roberts  
(Signature of person mailing paper or fee)

10/27/00  
(Date signed)

Serial/Patent No.: \*\*\*\*

Filing/Issue Date: 10/27/2000

Client: Redback Networks, Inc.

Title: REMOTE CIRCUIT PROVISIONING

BSTZ File No.: 004906.P005

Atty/Secty Initials: DMD/dkr

Date Mailed: 10/27/2000

Docket Due Date: \*\*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.)  | <input checked="" type="checkbox"/> Express Mail No.: <u>EL672753179US</u>           | Check No. <u>38564</u>                   |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                                    | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: <u>\$1,452.00</u>                   |
| <input checked="" type="checkbox"/> Application - Utility ( <u>28</u> pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO-1449 (____ pgs.)     | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                         | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: _____                               |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                           | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                                  | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)                      | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)  | <input checked="" type="checkbox"/> Postcard   |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)   | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                                       | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |  |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
| <input checked="" type="checkbox"/> Certificate of Mailing <b>Express Mail</b>                       | <input type="checkbox"/> Response to Notice of Missing Parts                         |  |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>4</u> pgs.) <b>Unsigned</b>               | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input type="checkbox"/> Disclosure Docs. & Olg. & Copy of Invention Signed Letter (____ pgs.)       | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate <b>Utility</b>  |  |
| <input checked="" type="checkbox"/> Drawings: <u>8</u> # of sheets includes <u>11</u> figures        | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate                    |  |

☐ Other: \_\_\_\_\_